

J160 U.S. PTO
09/26/01

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new non-provisional applications under 37 CFR
1.53(b))

Attorney Docket No. 09792909-5189

First Named Inventor or Application Identifier

Shuzo Sato

Express Mail Label No: EL834557611US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

U.S. PTO
09/26/01
1090**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> Specification | Total Pages <u>69</u> |
| 2. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) | Total Pages <u>18</u> |
| 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney | Total Pages <u>3</u> |
| a. <input checked="" type="checkbox"/> Unexecuted(original or copy) | |
| b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d))
<i>(for continuation/divisional with Box 14 completed)</i> | |
| <i>[Note Box 4 Below]</i> | |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> | Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |
| 4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) | The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| 5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) | |
| 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). | |
| 7. <input type="checkbox"/> English Translation Document to follow (<i>if applicable</i>) | |
| 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 9. <input checked="" type="checkbox"/> Preliminary Amendment | |
| 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>(Should be specifically itemized)</i> | |
| 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Statement(s) | Status still proper and desired |
| 12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-294974 filed September 27, 2000. | |

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS		35	15	\$18.00	270.00
INDEPENDENT CLAIMS		4	1	80.00	80.00
	ANY MULTIPLE DEPENDENT CLAIMS?			270.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$1,060.00

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,060.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE 

David R. Metzger (Reg. No. 32,919)

Date: September 26, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834557611US

Date of Deposit: September 26, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Shuzo Sato, Yuji Segawa, Akira Yoshio and Takeshi Nogami

Attorney Docket No. 09792909-5189

\$1,060.00 filing fee (35 claims - 4 independent)

Drawings (18 sheets - Figs. 1a-15)

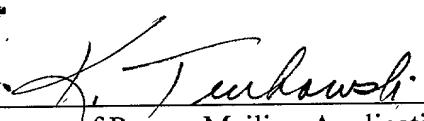
Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-294974

Return postcard



Signature of Person Mailing Application and Fees

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September 26, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
“POLISHING METHOD AND POLISHING APPARATUS”
Applicant(s) Shuzo Sato, et al.
Attorney Docket No. 09792909-5189

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 35 claims (4 independent), 18 sheets of drawings (Figs. 1A-15) and the filing fee of \$1,060.00 on behalf of

SHUZO SATO, YUJI SEGAWA, AKIRA YOSHIO and
TAKESHI NOGAMI

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHEIN NATH & ROSENTHAL

By:


David R. Metzger

DVM:kat
Enclosures